



PATENT
TS1102 (US)
DFH:EM

Hereby certify that this correspondence is being
deposited with the United States Postal Service as first
class mail in an envelope addressed to Commissioner
for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
on or before the date shown below.

Donald F. Haas

Date: April 29, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
EIT DRENT, ROELOF VAN GINKEL,)
and WILLEM W. JAGER)
)
Serial No. 10/670,105)
)
Filed September 24, 2003)
)
PROCESS FOR THE HYDROFORMYLATION)
OF AN ETHYLENICALLY UNSATURATED)
COMPOUND)
_____)

April 29, 2004

COMMISSIONER FOR PATENTS
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

CLAIM TO PRIORITY

Applicants reaffirm the claim for the benefit of filing date of the following foreign
patent application referred to in Applicant's Declaration:

European application Serial No. 02256696.2 filed September 26, 2002

A copy of the application certified by the European Patent Office is enclosed.

Respectfully submitted,

EIT DRENT, ROELOF VAN GINKEL,
and WILLEM W. JAGER

By

Attorney, Donald F. Haas
Registration No. 26,177
(713) 241-3356

P.O. Box 2463
Houston, Texas 77252-2463



THIS PAGE BLANK (USPTO)



**Europäisches
Patentamt**

**European
Patent Office**

**Office européen
des brevets**

Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02256696.2

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

R C van Dijk

THIS PAGE BLANK (USPTO)



Anmeldung Nr:
Application no.: 02256696.2
Demande no:

Anmeldetag:
Date of filing: 26.09.02
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

SHELL INTERNATIONALE RESEARCH
MAATSCHAPPIJ B.V.
Carel van Bylandtlaan 30
2596 HR Den Haag
PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.
If no title is shown please refer to the description.
Si aucun titre n'est indiqué se référer à la description.)

Process for the hydroformylation of an ethylenically unsaturated compound

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)
revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/
Classification internationale des brevets:

C07C45/00

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SK TR

THIS PAGE BLANK (USPTO)

PROCESS FOR THE HYDROFORMYLATION OF AN ETHYLENICALLY
UNSATURATED COMPOUND

The present invention relates to a process for the hydroformylation of an ethylenically unsaturated compound by reaction thereof with carbon monoxide and hydrogen in the presence of a catalyst system comprising:

- 5 (a) a source of group VIII metal cations;
(b) a diphosphine ligand; and
(c) a source of anions.

Such a process is known in the art from for example WO-A-9505354, EP-A-0495547 and WO-A-01/87899. Although
10 good activities towards a hydroformylation product are obtained, there is still room for improvement, especially when larger ethylenically unsaturated compounds are hydroformylated. It is furthermore desirable to provide such a hydroformylation process where high activities
15 towards a hydroformylation product can be obtained when the process is carried out under acidic conditions.

WO-A-01/87899 relates amongst others to the carbonylation of ethylenically unsaturated compounds. It describes a specific class of bidentate diphosphines.
20 The phosphorus atoms in these diphosphines are connected by a bridging group. A wide range of bridging groups is described. In passing, also cyclopentene is mentioned as a possible bridging group. However, no bidentate diphosphines comprising a cyclopentene bridge are
25 mentioned. Furthermore it is not indicated how such a cyclopentene bridge should be connected to the phosphorus atoms.

WO-A-0226690 relates to a process for the carbonylation of conjugated dienes. The examples describe the reaction of 1,3-butadiene and methanol to methyl-pentenoate in the presence of a catalyst comprising palladium acetate, a bidentate diphosphine and a carboxylic acid. Several bidentate diphosphines are exemplified. The examples show that, for the exemplified reaction with methanol, a catalyst system comprising 1,2-P,P'bis(9-phosphabicyclononyl) benzene as a bidentate diphosphine has only a moderate activity (400mol/mol/hr), much lower than a catalyst system comprising R,S-meso-2,3-P,P'bis(9-phosphabicyclononyl)butane as a bidentate diphosphine (560 mol/mol/hr).

It has now surprisingly been found that when a specific bidentate diphosphine, such as for example 1,2-P,P'bis(9-phosphabicyclononyl) benzene, is used in a catalyst for a hydroformylation reaction, especially a hydroformylation reaction under acidic conditions, unexpected advantages with regard to the activity towards a hydroformylation product are obtained.

Accordingly the present invention provides a process for the hydroformylation of an optionally substituted ethylenically unsaturated compound by reaction thereof with carbon monoxide and hydrogen in the presence of a catalyst system comprising:

- (a) a source of group VIII metal cations;
- (b) a diphosphine ligand having the general

formula I X^1-R-X^2 (I)

wherein X^1 and X^2 each independently represent an optionally substituted cyclic group with at least 5 ring atoms, of which one is a phosphorus atom, and R represents a bivalent optionally substituted bridging

group, connected to each phosphorus atom by a sp² hybridized carbon atom;

(c) an acid having a pK_a < 3, measured in an aqueous solution at 18 °C or a salt derived thereof; and

5 (d) a source of halide anions.

The process of the present invention is specifically directed to the hydroformylation of ethylenically unsaturated compounds, which shows specific reaction characteristics when compared to carbonylation reactions
10 in general. The advantages are furthermore especially pronounced when the ethylenically unsaturated compound is a large compound, i.e. comprising at least 4 carbon atoms and preferably at least 6 carbon atoms. Advantageously high reaction rates towards a hydroformylation product
15 can furthermore be obtained under acidic conditions. Such acidic conditions help stabilize the catalyst by decreasing the risk of reduction of the group VIII metal cation.

R represents a bivalent optionally substituted
20 bridging group, connected to each phosphorus atom by an sp² hybridized carbon atom. Both phosphorus atoms can be connected to one and the same sp² hybridized carbon atom.

Preferably, however, R comprises at least two sp² hybridized carbon atoms and each phosphorus atom is
25 connected to a separate sp² hybridized carbon atom.

Preferably R represents a bivalent optionally substituted bridging group comprising 2 or more atoms in the bridge. By "a bridge" is understood the shortest connection between both phosphorus atoms. More preferably
30 R contains 2 to 6 atoms in the bridge, and most preferably R contains 2 to 4 atoms in the bridge. Especially preferred are those bridging groups R which contain 2 or 3 atoms in the bridge. Of these bridge

atoms, preferably at least 2 are carbon atoms. More preferably all bridge atoms are carbon atoms. Most preferably R represents a bivalent optionally substituted bridging group connecting the phosphorus atoms via a bridge consisting of two sp²-hybridized carbon atoms.

The bridging group R can be any group comprising at least one and preferably two sp²-hybridized carbon atoms. Examples of such groups include alkene, cycloalkene and aromatic groups, wherein the carbon atom(s) connected to a phosphorus atom are connected via an unsaturated bond to another atom.

Examples of suitable alkene groups include alkene groups having more than 2 carbon atoms, more preferably from 2 to 10 carbon atoms, and most preferably from 2 to 6 carbon atoms. Especially preferred are alkene groups having 2 to 4 carbon atoms and more preferably alkene groups having 2 carbon atoms. The alkene group can contain heteroatoms such as N, O, P or S, either in the carbon-chain or attached as a substituent to the carbon-chain. The alkene group can contain one or more unsaturated bonds. The group can be a straight chain alkene group or a branched alkene group but is preferably a straight chain alkene group. The alkene group can have substituent groups attached to it containing heteroatoms such as N, O, P or S, alkyl groups or aryl groups. Preferably such a substituent is an alkyl or aryl group, more preferably an alkyl or aryl group comprising from 1 to 6, more preferably from 1 to 4, carbon atoms, such as for example methyl, ethyl, n-propyl, isopropyl, n-butyl, iso-butyl or tert.-butyl and phenyl. Examples of suitable alkene groups include 1,2-vinylene (in IUPAC nomenclature 1,2-ethenylene); 1,2-diphenyl-1,2-vinylene; 1-methyl-1,2-vinylene; 1,2-dimethyl-1,2-vinylene; 1-methyl,

2-ethyl, 1,2-vinylene 1,2-diethyl 1,2-vinylene;
1,3-(1,3-butadienyl) (in IUPAC nomenclature
1,2-dimethylene-1,2-ethylene); wherein the two free
valencies are connected to the phosphorus atoms.

5 Examples of suitable cycloalkene groups include
cycloalkene groups having more than 3 ring atoms, more
preferably from 4 to 16 ring atoms, and most preferably
from 5 to 10 ring atoms. Of these ring atoms at least two
are sp²-hybridized carbon atoms. The cycloalkene group
10 can contain heteroatoms such as N, O, P or S, either in
the carbon-chain or attached as a substituent to the
carbon-chain. Preferably, however, the cycloalkene group
only contains carbon atoms. The cycloalkene group can
contain one or more unsaturated bonds, but preferably
15 only contains one unsaturated bond. The cycloalkene group
can have substituent groups attached to it containing
heteroatoms such as N, O, P or S, alkyl groups or aryl
groups. Preferably such a substituent is an alkyl or aryl
group, more preferably an alkyl or aryl group comprising
20 from 1 to 6, more preferably from 1 to 4, carbon atoms,
such as for example methyl, ethyl, n-propyl, isopropyl,
n-butyl, iso-butyl or tert.-butyl and phenyl.
Most preferably, however, the cycloalkene groups do not
have substituent groups attached to it. Examples of
25 suitable cycloalkene groups include 1-cyclopenten-1,2-
ylene; 1-cyclohexen-1,2-ylene; 1-cyclohepten-1,2-ylene;
1-cyclo-octen-1,2-ylene; 3-methyl,1-cyclopenten-1,2-
ylene; 1,3-cyclopenten-2,3-ylene; wherein the two free
valencies are connected to the phosphorus atoms. Of these
30 1-cyclopenten-1,2-ylene and 1-cyclohexen-1,2-ylene are
preferred.

 Examples of suitable aromatic groups include
monocyclic groups, such as for example a phenyl group,

and polycyclic groups, such as for example naphthyl, anthryl or indyl groups. Preferably, however, the aromatic group is a monocyclic group. The aromatic group preferably has from 4 to 20 ring atoms, more preferably from 5 to 12 ring atoms and most preferably from 5 to 7 ring atoms. The aromatic group can contain only carbon atoms as ring atoms. Preferably, however, the aromatic ring also contains one or more hetero atoms, such as N, O, P or S, as a ring atom. Examples of suitable aromatic groups comprising one or more heteroatoms as a ring atom include for example pyridine, pyrrole, furan, thiophene, oxazole or thiazole groups. Preferred aromatic groups include thiophene and benzene. Optionally the aromatic group is substituted. Suitable substituents include groups containing hetero-atoms such as halides, sulphur, phosphorus, oxygen and nitrogen. Examples of such groups include fluoride, chloride, bromide, iodide and groups of the general formula $-O-H$, $-O-X^3$, $-CO-X^3$, $-CO-O-X^3$, $-S-H$, $-S-X^3$, $-CO-S-X^3$, $-NH_2$, $-NHX^3$, $-NX^3X^4$, $-NO_2$, $-CN$, $-CO-NH_2$, $-CO-NHX^3$, $-CO-NX^3X^4$ and $-CI^3$, in which X^3 and X^4 , independently, represent alkyl groups having from 1 to 4 carbon atoms like methyl, ethyl, n-propyl, isopropyl, n-butyl, iso-butyl and tert.-butyl. If the aromatic group is substituted it is preferably substituted with one or more aryl, alkyl or cycloalkyl groups, preferably having from 1 to 10 carbon atoms. Suitable groups include, methyl, ethyl, propyl, iso-propyl, n-butyl, iso-butyl, tert.-butyl phenyl and cyclohexyl. Most preferably, however, the aromatic group is non-substituted and only linked to the phosphorus atoms. Since the electrons of the double bond are de-localized in the aromatic ring structure, all aromatic carbon atoms of the ring are sp^2 -hybridized. Hence, the

phosphorus atoms can be connected via each combination of aromatic carbon atoms of the ring. Preferably, however, the phosphorus atoms are connected at adjacent positions, for example the 1 and 2 positions, of the aromatic group.

5 X^1 and X^2 represent a substituted or non-substituted cyclic group with at least 5 ring atoms, of which one is a phosphorus atom, and preferably with from 6 to 12 ring atoms. The cyclic group can be a monocyclic group, such as for example a substituted or non-substituted
10 phosphacyclohexyl, phosphacycloheptyl or phosphacyclo-octyl group, or a polycyclic group. Preferably X^1 and/or X^2 represent a phosphabicycloalkyl group with at least 6 ring atoms, such as for example
15 a 7-phosphabicycloheptyl, a 8-phosphabicyclooctyl or a 9-phosphabicyclononyl group. Most advantageously both X^1 and X^2 represent a substituted or non-substituted 9-phosphabicyclononyl group. The 9-phosphabicyclononyl group can have several isomeric structures. For the
20 purpose of the invention the [3,3,1] and [4,2,1] isomers are preferred. Suitably X^1 and X^2 are substituted or non-substituted [3,3,1] or [4,2,1] 9-phosphabicyclononyl groups. The two 9-phosphabicyclononyl groups can have both the same or each a different isomeric structure. Most preferably the two 9-phosphabicyclononyl groups have
25 the same isomeric structure, preferably the [3,3,1] isomeric structure.

One or both of the phosphacycloalkyl, or more preferably phosphabicycloalkyl, rings is suitably substituted with one or more suitable hydrocarbyl groups
30 containing carbon atoms and/or hetero-atoms. Suitable substituents include groups containing hetero-atoms such as halides, sulphur, phosphorus, oxygen and nitrogen. Examples of such groups include fluoride, chloride,

bromide, iodide and groups of the general formula =O, =S, -O-H, -O-X³, -CO-X³, -CO-O-X³, -S-H, -S-X³, -CO-S-X³, -NH², -NHX³, -NX³X⁴, -NO², -CN, -CO-NH², -CO-NHX³, -CO-NX³X⁴ and -CI³, in which X³ and X⁴, independently, represent alkyl groups having from 1 to 4 carbon atoms like methyl, ethyl, n-propyl, isopropyl, n-butyl, iso-butyl and tert.-butyl. If a phosphabicyclononyl ring is substituted it is preferably substituted with one or more alkyl groups, preferably having from 1 to 10 carbon atoms, more preferably from 1 to 4 carbon atoms. Linear, branched or cyclic alkyl groups can be used. Suitable alkyl groups include, methyl, ethyl, propyl, iso-propyl, butyl and iso-butyl. More suitably methyl groups are used. The substituted phosphabicyclononyl ring can be mono- or poly-substituted and is preferably di-substituted. Most preferably the phosphabicyclononyl ring is substituted with two methyl groups.

Preferred bidentate ligands of formula (I) include all isomeric structures of

1,2-P,P'bis(9-phosphabicyclononyl) benzene;
 1,2-P,P'bis(9-phosphabicyclononyl) 4-methyl benzene;
 3,4-P,P'bis(9-phosphabicyclononyl) thiophene;
 1,2-P,P'bis(9-phosphabicyclononyl) cyclopentene;
 1,2-P,P'bis(9-phosphabicyclononyl) cyclohexene.

Of these, 1,2-P,P'bis(9-phosphabicyclo[3,3,1]nonyl) thiophene and 1,2-P,P'bis(9-phosphabicyclo[3,3,1]nonyl) cyclopentene are especially preferred.

Some of the above described diposphine ligands are novel. Accordingly this invention also provides a diposphine ligand having the general formula II



wherein X¹ and X² each independently represent an optionally substituted cyclic group with at least 5 ring

atoms, of which one is a phosphorus atom, and R² represents a bivalent optionally substituted bridging group, connected to each phosphorus atom by a sp² hybridized carbon atom, with the proviso that the
5 diphosphine ligand is not 1,2-P,P'bis-(9-phosphabicyclononyl) benzene.

Preferences for the ligands are as described herein-before.

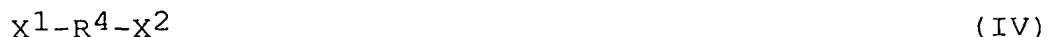
In a preferred embodiment the diphosphine ligand
10 comprises a cycloalkene as described herein before, and accordingly this invention also provides a diphosphine ligand having the general formula III



wherein X¹ and X² each independently represent an
15 optionally substituted cyclic group with at least 5 ring atoms, of which one is a phosphorus atom, and R³ represents a bivalent cycloalkene group, connected to each phosphorus atom by a sp² hybridized carbon atom.

Preferences for the ligands are as described herein-
20 before.

In another preferred embodiment the diphosphine ligand comprises an aromatic group containing one or more heteroatoms as a ring atom as described herein before, and accordingly this invention also provides a
25 diphosphine ligand having the general formula IV



wherein X¹ and X² each independently represent an
optionally substituted cyclic group with at least 5 ring
atoms, of which one is a phosphorus atom, and R⁴
30 represents a bivalent aromatic group, wherein aromatic ring contains one or more hetero atoms as a ring atom,

which aromatic group is connected to each phosphorus atom by a sp^2 hybridized carbon atom.

Preferences for the ligands are as described hereinbefore.

5 The ligands can suitably be prepared as indicated in the examples, e.g. by reacting 9-phosphabicyclononane with the appropriated dihalo-bridging group. The 9-phosphabicyclononane can conveniently be prepared as described by Elsner et al. (Chem. Abstr. 1978, vol. 89, 10 180154x). The isomerically pure 9-phosphabicyclononane can conveniently be obtained as described in international patent application No. PCT/EP02/01036.

 The invention further provides a catalyst comprising:
 (a) a source of group VIII metal cations; and
15 (b) a diphosphine ligand as described herein above.

 Suitable group VIII metals include the metals rhodium, nickel, palladium and platinum. Of these, palladium and platinum are preferred.

 Examples of suitable metal sources are platinum or
20 palladium compounds such as salts of palladium or platinum and nitric acid, sulphuric acid or sulphonic acids, salts of platinum or palladium and carboxylic acids with up to 12 carbon atoms, palladium- or platinum complexes, e.g. with carbon monoxide or acetylacetonate,
25 or palladium or platinum combined with a solid material such as an ion exchanger. Palladium(II) acetate and platinum(II) acetylacetonate are examples of preferred metal sources.

 In the catalyst systems of the invention, any acid
30 having a $pK_a < 3$, measured in an aqueous solution at 18 °C or any salt derived thereof can be used.

 Sulphonic acids are preferred. Suitable sulphonic acids include sulphonic acids comprising one or more

halogen-atoms, such as F, Cl, Br and I. Examples of suitable sulphonic acids include therefore methanesulphonic acid, fluoro-methanesulphonic acid, trichloro-methanesulphonic acid, trifluoro-

5 methanesulphonic acid, tert-butane-sulphonic acid, p-toluenesulphonic acid and 2,4,6-trimethyl-benzene-sulphonic acid.

In addition the presence of a source of halide is required. Suitable sources of halide include halide

10 salts, preferably salts of alkali metals, such as for example NaI, NaCl, NaBr, KCl; and hydrogen halides such as HI, HCl, HBr, or HF. Of these HI, HCl and HBr are preferred and HCl is most preferred.

The ethylenically unsaturated compound, used as

15 starting material, is preferably an ethylenically unsaturated compound having from 2 to 40 carbon atoms per molecule, or a mixture thereof. Preferred are compounds having from 2 to 30 carbon atoms, or mixtures thereof. The advantages of the process according to the invention

20 are further especially pronounced for larger ethylenically unsaturated compounds comprising at least 4 carbon atoms, and preferably at least 6 carbon atoms. More preferably such a large ethylenically unsaturated compounds comprises 8 or more carbon atoms, preferably

25 from 8 to 25 and more preferably from 8 to 18 carbon atoms. The ethylenically unsaturated compound can further be a straight carbon chain or can be branched. Suitable ethylenically unsaturated compounds hence include substituted compounds. Preferably such substituents are

30 alkyl groups, preferably alkyl groups comprising from 1 to 6, more preferably from 1 to 4 carbon atoms, such as methyl, ethyl, n-propyl, isopropyl, n-butyl, iso-butyl and tert.-butyl. Examples of suitable ethylenically

unsaturated compounds include ethene, propene, butene, pentene, 1-hexene, internal hexenes, 1-heptene, internal heptenes 1-octene, internal octenes, 1-nonene or internal nonenes, 1-decene or internal decenes, undecenes, methyl-branched undecenes, dodecenes, methyl-branched dodecenes, methyl-substituted or non-substituted C₁₃, C₁₄ or C₁₅-olefins and mixtures of those.

The process is further especially suitable for the hydroformylation of mono-alkenes in the presence of dienes. Without wishing to be bound to any kind of theory, it is thought that the presence of dienes slows down the activity of the catalyst system. As shown in the examples, in the process according to the invention high activities are even obtained when a mixture of mono-alkenes and dienes is used as a substrate. The process according to the invention is hence especially suitable for the hydroformylation of for example octenes in a mixture of octenes, octadienes, methyl-heptadienes and/or dimethyl-hexadienes.

The ethylenically unsaturated compound can further be an ethylenically unsaturated compound comprising functional groups or heteroatoms, such as nitrogen, sulphur or oxide. Examples include unsaturated carboxylic acids, esters of such acids or alkene nitriles. Suitable ethylenically unsaturated comprising functional groups or heteroatoms include for example pentene nitriles and methyl-pentenoates.

Preferably, however, the ethylenically unsaturated compound does not comprise any functional groups or heteroatoms and is an olefin comprising only carbon atoms.

In the process of the invention, the unsaturated starting material and the formed product may act as

reaction diluent. Hence, the use of a separate solvent is not necessary. Conveniently, however, the hydroformylation reaction may be carried out in the additional presence of a solvent. As such, saturated hydrocarbons, e.g. paraffins and isoalkanes are recommended and furthermore saturated hydrocarbons, preferably having from 4 to 10 carbon atoms per molecule; ethers such as 2,5,8-trioxanonane (diglyme), diethylether and anisole, and ketones, such as methylbutylketone. Solvents, comprising or substantially consisting of sulphones are also preferred. Sulphones are in particular preferred, for example dialkylsulphones such as dimethylsulphone and diethylsulphone and cyclic sulphones, such as sulfolane (tetrahydrothiophene-2,2-dioxide), 2-methylsulfolane and 2-methyl-4-ethylsulfolane.

In a preferred embodiment mixtures of sulphones and alkanols and/or water are used as a solvent. Suitable alkanols that can be used in such a solvent mixture include mono-alkanols and polyalkanols. Preferably mono-alkanols having from 1 to 20, more preferably from 4 to 12 carbon atoms are used. The alkanol can be a straight alkanol or it can be branched. Preferably the alkanol is branched and the main carbon chain of the alkanol is substituted with one or more alkyl groups, preferably alkyl groups comprising from 1 to 6, more preferably from 1 to 4 carbon atoms such as methyl, ethyl, n-propyl, isopropyl, n-butyl, iso-butyl and tert.-butyl. Examples of suitable alkanols include methyl-pentanol, methyl-hexanol, ethyl-hexanol, methyl-heptanol, ethyl-heptanol, dimethylhexanol, dimethylheptanol and methyl-octanol. Most preferably, however, the alkanol is the alkanol which is obtained when the ethylenically unsaturated compound is

hydroformylated and subsequently hydrogenated. A solvent mixture preferably contains in the range from 0.1 to 10, more preferably in the range from 0.5 to 5 ml of alkanol per ml of sulphone; and , if present, in the range
5 from 0.001 to 1, more preferably in the range from 0.01 to 0.5 ml of water per ml of sulphone.

The quantity in which the catalyst system is used, is not critical and may vary within wide limits. Usually amounts in the range of 10^{-8} to 10^{-1} , preferably in the
10 range of 10^{-7} to 10^{-2} mole atom of Group VIII metal per mole of ethylenically unsaturated compound are used. The amounts of the participants in the catalyst system are conveniently selected such that per mole atom of Group VIII metal from 0.1 to 10, preferably
15 from 0.5 to 6, and more preferably from 1 to 3 moles of bidentate diphoshine are used; from 0.1 to 15, preferably from 0.5 to 10, and more preferably from 1 to 6 moles of anion source or a complex anion source. The hydrogen halide should be present in a ratio of 0.01 to 100 mmol
20 hydrogen halide to 1 mmol group VIII metal. More preferably in the range from 0.1 to 10 and most preferably in the range of 0.5 to 5 mol hydrogen halide per mol of group VIII metal is present.

Carbon monoxide partial pressures in the range of
25 1-65 bar are preferred. In the process according to the present invention, the carbon monoxide can be used in its pure form or diluted with an inert gas such as nitrogen, carbon dioxide or noble gases such as argon.

For hydroformylation the coreactant can be molecular
30 hydrogen, or more generally a hydride source. The carbon monoxide and hydrogen are preferably supplied in a molar ratio of hydrogen to carbon monoxide within the range of 10:1 to 1:5, preferably 6:1 to 1:3. The molar ratio of

hydrogen to carbon monoxide can influence the type of product prepared. When the desired product is an alkanol, an excess of hydrogen is needed to enable the hydrogenation of the originally formed aldehyde or ketone. Therefore, if the desired product is an alkanol, preferably a molar ratio of hydrogen to carbon monoxide within the range of 4:1 to 1.5:1 is used.

The hydroformylation can be suitably carried out at moderate reaction conditions. Hence temperatures in the range of 50 to 200 °C are recommended, preferred temperatures being in the range of 70 to 160 °C. Reaction pressures in the range of 5 to 150 bar are preferred, lower or higher pressures may be selected, but are not considered particularly advantageous. Moreover, higher pressures require special equipment provisions.

The invention will be illustrated by the following non-limiting examples.

Example 1

Preparation of 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl)) benzene

A 300 ml Schlenk vessel under nitrogen atmosphere is charged with 9.44 g (40 mmol) of 1,2-dibromobenzene, 22.4 g (200 mmol) of 1,4-diazabicyclo[2,2,2]octane, 13 g (91 mmol) of 9-phosphabicyclo[3.3.1]nonane, 2.32 g (2 mmol) of tetrakis(triphenylphosphine)palladium(0) and 150 ml of xylene (solvent). The mixture is stirred overnight at 140 °C, during which period a precipitate of 1,4-diazabicyclo[2,2,2]octane hydrogenbromide is formed. After cooling to 100 °C the mixture is filtered hot. Upon cooling of the xylene solution, crystals of 1,2-bis-([3.3.1]-9-phosphabicyclononane) benzene are formed. The liquid is decanted, and the product recrystallized from

100 ml of hot toluene.

1,2-Bis(9-phosphabicyclo[3.3.1]nonyl) benzene is obtained in a yield of 7.1 g (20 mmol, 50%), pure according to ^{31}P and ^1H NMR. ^{31}P NMR (CD_2Cl_2): -16.6 ppm ^1H NMR (CD_2Cl_2): 7.15 ppm (m, 2H), 7.10 ppm (m, 2H), 2.63 ppm (s, 4H), 1.2-2.2 ppm (m, 24H)

Example 2

Preparation of 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl) cyclopentene

A 200 ml Schlenk vessel under nitrogen atmosphere is charged with 5.0 g (22 mmol) of 1,2-dibromocyclopentene, 9.0 g (80 mmol) of 1,4-diazabicyclo[2,2,2]octane, 8.0 g (56 mmol) of 9-phosphabicyclo[3.3.1]nonane, 1.16 g (1 mmol) of tetrakis(triphenylphosphine)palladium(0) and 60 ml of xylene. The mixture is stirred overnight at 140 °C, during which period a precipitate of 1,4-diazabicyclo[2,2,2]octane hydrogenbromide is formed. After cooling to 100 °C the mixture is filtered hot. Upon cooling of the xylene solution, a precipitate of 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl)-cyclopentene is formed. After further cooling to -35 °C, the liquid is decanted and the residue purified by stirring with hot methanol. 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl)-cyclopentene is obtained in a yield of 4.3 g (12.4 mmol, 56%), pure according to ^{31}P and ^1H NMR. ^{31}P NMR (CD_2Cl_2): -25.9 ppm ^1H NMR (CD_2Cl_2): 2.60 ppm (m, 4H), 2.26 ppm (m, 4H), 1.4-2.1 ppm (m, 24H).

Example 3

Preparation of 3,4-PP'bis(9-phosphabicyclo[3.3.1]nonyl) thiophene

3,4-PP'bis(9-phosphabicyclo[3.3.1]nonyl) thiophene

is prepared from 3,4-dibromothiophene in a similar way as 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl)cyclopentene is prepared from 1,2-dibromopentene. 3,4-PP'bis(9-phosphabicyclo[3.3.1]nonyl)thiophene is obtained in a yield of 40%, pure according to ^{31}P NMR (CD_2Cl_2): -24.4 ppm ^1H NMR (CD_2Cl_2): 7.08 ppm (s, 2H), 2.46 ppm (s, 4H), 1.4-2.2 ppm (m, 24H).

In examples 1-14 and comparative examples A-H:

BPBE = 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl) benzene

BPCP = 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl)
cyclopentene

BPTH = 3,4-PP'bis(9-phosphabicyclo[3.3.1]nonyl) thiophene

BPET = 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl) ethane

BPPT = 1-P(9-phosphabicyclo[3.3.1]nonyl),2-P(9-phosphabicyclo[3.3.1]nonyl-methyl) benzene

BPFB = 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl) 4,5-difluoro-benzene

BPBU = 2,3-PP'bis(9-phosphabicyclo[3.3.1]nonyl) butane

BPCH = 1,2-PP'bis(9-phosphabicyclo[3.3.1]nonyl) cyclohexane

MSA = methane sulfonic acid

FSA = trifluoromethane sulfonic acid

Examples 4 -8, comparative examples A-D; Hydroformylation

Examples 4 -8 and comparative examples A-D were carried out in a 350 ml magnetically stirred autoclave. The autoclave was charged with a substrate as indicated in table I, 30 ml of ethylhexanol, 10 ml sulfolane and 0.5 ml of water, 0.25 mmol (56 mg) of Palladium II acetate, an amount of a bidentate diphosphine as indicated in table I, and an amount of acid as indicated in table I. After being flushed with nitrogen, the autoclave was pressurized with carbon monoxide to a partial pressure of 20 bar and hydrogen to a partial pressure

of 40 bar. Subsequently, the reactor was sealed and the contents were heated to a temperature as indicated in table I and maintained at that temperature for 5 hours. In table I the initial rate of carbonylation is indicated (in. react. rate). The initial rate of carbonylation is defined as the mean rate of carbon monoxide consumption over the first 30 % substrate conversion. After cooling, a sample was taken from the contents of the reactor and analysed by Gas Liquid Chromatography. The percentage alkanol product, based on the total amount of product, is indicated in table I. The percentage linear alkanol product, based on the total amount of alkanol product, is also indicated in table I. The remainder comprised mainly aldehydes and ketones and acetals, which are intermediate products to alkanols. Furthermore some hydrogenation byproduct was obtained. The amount of hydrogenation byproduct is indicated in table I. The experiments in table I indicate that the catalyst according to the invention is more active towards a hydroformylation product than some prior art catalysts.

Table I

Example	Substrate (ml)	bidentate diphosphine (mmol)	acid (mmol)	T (°C)	in. react. rate (mol/mol Pd/hr)	alkanol product (%)	linear alkanol product (%)	Hydroge- nation byproduct (%)
4	1-octene (20)	BPBE (0.4)	MSA (1), HCl (0.2)	120	1380	>99	68	<1
5	1-octene (20)	BPBE (0.4)	MSA (0.5) HCl (0.1)	120	920	>99	65	<1
6	1-octene (20)	BPBE (0.4)	FSA (0.5) HCl (0.2)	110	680	>99	72	<1
A	1-octene (20)	BPBE (0.4)	MSA (0.5)	120	156	75	63	<1
B	1-octene (30)	BPBU (0.4)	MSA (0.5) HCl (0.1)	105	119	75 1)	58	4.2
7	internal C11, C12 olefin (30)	BPBE (0.5)	FSA (1) HCl (0.2)	115	1061	>99	75	<1
C	internal C11, C12 olefin (30)	BPET (0.5)	FSA (1) HCl (0.2)	115	156	90	73	<1

Table I (cont'd)

Example	Substrate (ml)	bidentate diphosphine (mmol)	acid (mmol)	T (°C)	in. react. rate (mol/mol Pd/hr)	alkanol product (%)	linear alkanol product (%)	Hydroge- nation byproduct (%)
8 2)	internal C11, C12 olefin (30)	BPCP (0.5)	FSA (1) HCl (0.2)	115	1206	>99	71	<1
9	internal C11, C12 olefin (30)	BPTH (0.4)	FSA (0.5) HCl (0.2)	115	1397	>99	77	<1
D	internal C11, C12 olefin (30)	BPCH (0.4)	FSA (0.5) HCl (0.2)	120	307	88	76	<1

1) Also esters are formed as a byproduct here.

2) Carried out in the presence of 50 μ l 2,5-dimethyl-2,4-hexadiene.

Example 10 , comparative example E ; Demonstration of diene tolerance

Example 10 and comparative example E were carried out in a 350 ml magnetically stirred autoclave. The autoclave was charged with 30 ml of an mixture of internal C11 and C12 olefins (as substrate), 30 ml of ethylhexanol, 10 ml sulfolane and 0.5 ml of water, 0.25 mmol (56 mg) of Palladium II acetate, an amount of a bidentate di-phosphine as indicated in table I, 0.2 mmol HCl and an amount of sulfonic acid as indicated in table II. In addition 0.25 ml 2,5 dimethyl-2,4 hexadiene was added to the autoclave. After being flushed with nitrogen, the autoclave was pressurized with carbon monoxide to a partial pressure of 20 bar and hydrogen to a partial pressure of 40 bar. Subsequently, the reactor was sealed and the contents were heated to a temperature of 135 °C and maintained at that temperature for 5 hours. In table II the initial rate of carbonylation is indicated (in. react. rate). The initial rate of carbonylation is defined as the mean rate of carbon monoxide consumption over the first 30 % substrate conversion. After cooling, a sample was taken from the contents of the reactor and analysed by Gas Liquid Chromatography. The percentage alkanol product, based on the total amount of product, is indicated in table II. The percentage linear alkanol product, based on the total amount of alkanol product, is also indicated in table II. Furthermore some hydrogenation byproduct was obtained. The amount of hydrogenation byproduct is indicated in table II. The experiments in table II indicate that the process according to the invention is more tolerant towards a feed containing dienes.

Table II

Example	Bidentate diphosphi ne (mmol)	sulfonic acid (mmol)	in. react. rate (mol/mol Pd/hr)	alkanol product (%)	linear alkanol product (%)	Hydrogenation product (%)
10	BPBE (0.4)	FSA (0.5) HCl (0.2)	680	99	73	0.4
E	BPET (0.4)	FSA (0.5) HCl (0.2)	25	75	70	0.6

Examples 11-14, comparative examples F and G; pentenoate hydroformylation

Examples 11-14 and comparative examples F and G were carried out in a 350 ml magnetically stirred autoclave. The autoclave was charged with 15 ml of methylpentenoate, 0,25 mmol (56 mg) of Palladium II acetate, 10 ml sulfolane, an amount of methanol as indicated in table III, an amount of a bidentate diphosphine as indicated in table III , an amount of hydrochloric acid (HCl) as indicated in table III, and an amount of an sulfonic acid as indicated in table III. After being flushed with nitrogen, the autoclave was pressurized with carbon monoxide to a partial pressure of 20 bar and hydrogen to a partial pressure of 40 bar. Subsequently, the reactor was sealed and the contents were heated to a temperature as indicated in table III and maintained at that temperature for 5 hours. After cooling, a sample was taken from the contents of the reactor and analysed by Gas Liquid Chromatography. The reaction rate towards the hydroformylation products is indicated in table III. This reaction rate is calculated by dividing the moles formed hydroformylation product as determined by Gas Liquid Chromatography, by the moles of palladium and the time (hours) required for 100 % conversion. The hydroformylation products include 6-hydroxy-methylhexanoate, 5-hydroxy-4-methyl-methylpentanoate and 4-hydroxy-3-ethyl-methylbutanoate. The selectivity towards the desired linear 6-hydroxy methyl hexanoate, based on the total amount of hydroformylation product is indicated as the linearity in table III. Part of the substrate was converted to methylpentanoate in a competing hydrogenation reaction. The percentage methylpentanoate byproduct prepared is indicated

in table III. These experiments show that in the process according to the invention also high reaction rates towards a hydroformylation product of pentenoates can be obtained.

Table III

Example	methanol (ml)	Bidentate diphos- phine (mmol)	HCl (mmol)	sulfonic acid (mmol)	T (°C)	activity ³⁾ (mol/mol Pd/hr)	linearity (%)	methyl- pentanoate (%)
11	40	BPCP(0.4)	0.5	FSA(0.5)	115	2600	73	22
12	50	BPBE(0.4)	0.5	FSA(0.5)	115	900	67	21
13	50	BPTH(0.4)	0.5	FSA(0.5)	115	1500	70	19
F	50	BPET(0.4)	0.5	FSA(0.5)	115	500	68	77
G	50	BPPT(0.4)	0.5	FSA(0.5)	115	450	38	74
14	50	BPFB(0.4)	0.5	FSA(0.5)	115	920	64	18

³⁾ activity towards hydroformylation products

C L A I M S

1. Process for the hydroformylation of an optionally substituted ethylenically unsaturated compound by reaction thereof with carbon monoxide and hydrogen in the presence of a catalyst system comprising:

- 5 (a) a source of group VIII metal cations;
(b) a diphosphine ligand having the general formula I
- $$X^1-R-X^2 \quad (I)$$

wherein X^1 and X^2 each independently represent an optionally substituted cyclic group with at least 5 ring
10 atoms, of which one is a phosphorus atom, and R represents a bivalent optionally substituted bridging group, connected to each phosphorus atom by a sp^2 hybridized carbon atom;

- (c) an acid having a $pK_a < 3$, measured in an aqueous
15 solution at 18 °C or a salt derived thereof; and
(d) a source of halide anions.

2. Process as claimed in claim 1, wherein the group R represents a alkene, cycloalkene and aromatic groups, wherein the carbon atoms connected to a phosphorus atom
20 are connected via an unsaturated bond to another atom.

3. Process as claimed in claim 1 or 2, wherein the group R represents a bivalent optionally substituted aromatic bridging group with both phosphorus atoms bound to the 1,2-position.

25 4. Process as claimed in anyone of claims 1 to 3, wherein wherein X^1 and/or X^2 represent an optionally substituted phospho-bicycloalkyl group with at least 6 ring atoms.

5. Process as claimed in anyone of claims 1 to 5,
wherein the diphosphine ligand (b) is chosen from
1,2-P,P'bis(9-phosphabicyclononyl) benzene;
1,2-P,P'bis(9-phosphabicyclononyl) 4-methyl benzene;
5 1,2-P,P'bis(9-phosphabicyclononyl) thiophene;
1,2-P,P'bis(9-phosphabicyclononyl) cyclopentene; and
1,2-P,P'bis(9-phosphabicyclononyl) cyclohexene.

6. Process as claimed in anyone of claims 1 to 5,
wherein the source of group VIII metal cations is a
10 source of Pd cations.

7. Process as claimed in any one of claims 1 to 6,
wherein the ethylenically unsaturated compound is an
alkene comprising at least 4 carbon atoms.

8. Diphosphine ligand having the general formula II
15
$$X^1-R^2-X^2 \quad (II)$$

wherein X^1 and X^2 each independently represent an
optionally substituted cyclic group with at least 5 ring
atoms, of which one is a phosphorus atom, and R^2
represents a bivalent optionally substituted bridging
20 group, connected to each phosphorus atom by a sp^2
hybridized carbon atom, with the proviso that the
diphosphine ligand is not
1,2-P,P'bis(9-phosphabicyclononyl) benzene.

9. Diphosphine ligand having the general formula III
25
$$X^1-R^3-X^2 \quad (III)$$

wherein X^1 and X^2 each independently represent an
optionally substituted cyclic group with at least 5 ring
atoms, of which one is a phosphorus atom, and R^3
represents a bivalent cycloalkene group, connected to
30 each phosphorus atom by a sp^2 hybridized carbon atom.

10. Diphosphine ligand having the general formula IV
$$X^1-R^4-X^2 \quad (IV)$$

wherein X^1 and X^2 each independently represent an optionally substituted cyclic group with at least 5 ring atoms, of which one is a phosphorus atom, and R^4 represents a bivalent aromatic group, wherein aromatic ring contains one or more hetero atoms as a ring atom, which aromatic group is connected to each phosphorus atom by a sp^2 hybridized carbon atom.

11. Catalyst comprising:

- (a) a source of group VIII metal cations; and
- (b) a diphosphine ligand as claimed in any one of claims 8-10.

THIS PAGE BLANK (USPTO)

A B S T R A C T

PROCESS FOR THE HYDROFORMYLATION OF AN ETHYLENICALLY
UNSATURATED COMPOUND

Process for the hydroformylation of an optionally substituted ethylenically unsaturated compound by reaction thereof with carbon monoxide and hydrogen in the presence of a specific catalyst system.

The specific catalyst system comprises:

- (a) a source of group VIII metal cations;
- (b) a diphosphine ligand having the general formula I



wherein X^1 and X^2 each independently represent an optionally substituted cyclic group with at least 5 ring atoms, of which one is a phosphorus atom, and R represents a bivalent optionally substituted bridging group, connected to each phosphorus atom by a sp^2 hybridized carbon atom;

- (c) an acid having a $pK_a < 3$, measured in an aqueous solution at 18 °C or a salt derived thereof; and
- (d) a source of halide anions.

Furthermore some specific bidentate diphosphines used in this process are described.

THIS PAGE BLANK (USPTO)